

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

DOCKET NO. JP920000216US1  
Date: February 13, 2001

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Vikunta Prahlad Raghunandan

For: INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE

ASSIGNEE NAME: International Business Machines Corporation

ASSIGNEE RESIDENCE: Armonk, New York

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OCT 23 2001

OFFICE OF PETITIONS

Enclosed are:

☒ 5 Sheets of Informal Drawings.

☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☐ A certified copy of a \_\_\_\_\_ application, dated \_\_\_\_\_, no. \_\_\_\_\_.

☒ Executed Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

		(Col. 1)	(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA		RATE	FEE
BASIC FEE					\$ 710.00
TOTAL CLAIMS	25 - 20 =	5		X \$ 18 =	\$ 90.00
INDEP CLAIMS	3 - 3 =	0		X \$ 80 =	\$ 0.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED				+ \$ 270 =	\$ 270.00
				TOTAL	\$ 1,070.00

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

☒ Please charge my Deposit Account No. 500-629 in the amount of \$ 1,070.00.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500-629. A duplicate copy of this sheet is enclosed.



Receipt

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: H. P. Raghunandan

Confirmation No. 6926

Serial No.: 09/782,933

Examiner:

Filed: February 14, 2001.

Group Art: 2152

For: INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S  
PROFILE

Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Request for Corrected Filing Receipt
3. Copies of: filing receipt, returned postcard, transmittal sheet,  
Express Mail Label EL493856068 showing filing date  
of February 14, 2001
4. Submission of Five (5) Formal Drawings

is being deposited with the United States Postal Service as first class mail in an  
envelope addressed to:

*Assistant Commissioner for Patents  
Washington, D.C. 20231*

on September 13, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

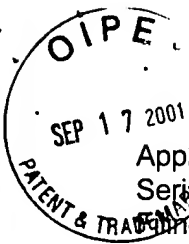
(Signature of person mailing paper)

Docket No.: JP920000216US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 2

Applicants: H. P. Raghunandan, et al.  
Serial No.: 09/782,933  
Filing Date: February 14, 2001  
Confirmation No. 6926

Docket No. JP920000216US1  
Group Art Unit: 2152  
Examiner:  
Dated: September 13, 2001

For: INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE

Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

Attached is a copy of the official filing receipt from the PTO in the above mentioned patent application for which issuance of a corrected filing receipt is respectfully requested. Also attached are copies of: acknowledgement postcard, Filing Receipt, application transmittal sheet, and Express Mail Label No. EL493856068US.

There is an error in:

- ☐ Applicant's name and/or address.
- ☐ Title
- ☒ Filing Date
- ☐ Serial Number
- ☐ Atty. Docket No.

in that it should read as follows:

"02/13/2001" should read -- 02/14/2001.

Since this error was due on the part of the Patent Office, no fee is believed to be due. Should a fee need to be incurred, please charge our deposit account 50-0629.

Respectfully submitted,

Manny W. Schecter  
Telephone: 914-945-3252  
Registration No. 31,722

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NEW YORK 10598  
Fax No. 914-945-3281

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FILING PATENT APPLICATION, EXECUTED DECLARATION AND POWER OF ATTORNEY, ASSIGNMENT TO IBM WITH RECORDATION FORM, APPLICATION FEE SHEET.



PLEASE STAMP & RETURN TO US

In re application of Hulikunta P. Raghuandan  
For INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE  
Serial No.                     ; Docket No. JP920000216US Atty.: SCK  
Received in the U.S. Patent & Trademark Office:                       
No. of pages of specification 15; No. of pages of claims 4  
No. of sheets of drawings: 5 Informal Express Mail EL493856068US  
Declaration is attached to specification Date of Deposit: Feb. 14, 2001  
All fees are charged to our Account No. ~~09-0466~~  
500-629

EL493856068US

FILING PATENT APPLICATION, EXECUTED DECLARATION AND POWER OF ATTORNEY, ASSIGNMENT TO IBM WITH RECORDATION FORM, APPLICATION FEE SHEET.

jc860 U.S. PTO  
09/782933

PLEASE STAMP & RETURN TO US

In re application of Hulikunta P. Raghuandan  
For INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S PROFILE  
Serial No.                     ; Docket No. JP920000216US Atty.: SCK  
Received in the U.S. Patent & Trademark Office:                       
No. of pages of specification 15; No. of pages of claims 4  
No. of sheets of drawings: 5 Informal Express Mail EL493856068US  
Declaration is attached to specification Date of Deposit: Feb. 14, 2001  
All fees are charged to our Account No. ~~09-0466~~  
500-629

jc860 U.S. PTO  
09/782933  
02/14/01

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/782,933	02/13/2001	2152	1070	JP920000216US1	5	23	3

CONFIRMATION NO. 6926

## FILING RECEIPT



\*OC000000005880006\*

Stephen C. Kaufman  
IBM Corporation  
Box 218, Route 134, Kitchawan Road  
Yorktown Heights, NY 10598

Date Mailed: 03/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hulikunta Prahlad Raghunandan, Bangalore, INDIA;

## Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 03/19/2001

Projected Publication Date: 08/15/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Information provision over a network based on a user's profile

IBM  
YORKTOWN  
2001 APR -6 AM 10:04  
INTELLECTUAL PROPERTY  
LAW DEPT.

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Preliminary Class

709

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Data entry by : THANATIPANAT, CHULARAK Team : OIPE

Date: 03/30/2001

[REDACTED]

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: H. P. Raghunandan

Docket No. JP920000216US1

Serial No. 09/782,933

Group Art Unit: 2152

Filed: February 14, 2001

Examiner:

Batch No.:

Confirmation No.: 6926

For: INFORMATION PROVISION OVER A NETWORK BASED ON A USER'S  
PROFILE

Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF FORMAL DRAWINGS**

Sir:

Submitted herewith are five (5) Formal Drawing sheets for filing in the  
above-identified application.

Respectfully submitted,

Manny W. Schecter  
Reg. No. 31,722  
Telephone: (914) 945-3252

IBM CORPORATION  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, NY 10598  
Fax: (914) 945-3281

Date: September 13, 2001

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